

Citi India consumer banking customers are now served by Axis Bank. Citi India has transferred ownership of its consumer banking business to Axis Bank (registration number L65110GJ1993PLC020769). Consumer banking customers can continue to use all existing Citi products and/or services, branches, ATMs, internet banking and Citi Mobile® App as usual. Axis Bank is the provider of Citi branded consumer banking products in India temporarily and Citi India is providing certain services in respect of those products. The trademarks "Citi", "Citibank", "Citigroup", the Arc design and all similar trademarks and derivations thereof are used temporarily under license by Axis Bank from Citigroup Inc. and related group entities.



FATCA – Consumer Use Only

**FATCA ENTITY SELF-CERTIFICATION FORM
(FOR USE BY ACTIVE NFFES HAVING AN OFFSHORE DEPOSITORY ACCOUNT ONLY)**

To comply with U.S. tax information reporting and Foreign Account Tax Compliance Act (FATCA) requirements, Citi must obtain certain information about each account holder’s tax residency and tax classification status. In certain circumstances, Citi may be required to share this information with relevant tax authorities.

By completing and signing this Form, you are self-certifying that the entity is an Active Non-Financial Foreign Entity (Active NFFE) with an offshore depository account only. Please refer to the FATCA statuses listed in Part 2A of Citi’s Guidelines for Completion of this FATCA Entity Self-Certification Form to determine whether the entity is an Active NFFE. If you need assistance in determining the entity’s FATCA status or in completing this Form, please contact your tax or legal advisor.

Part 1 – Entity/Organization Details

- (1) Name or Entity or Organization _____
- (2) Country of Incorporation or Organization _____
- (3) Permanent Residence Address (Do not use a P.O. Box or an in-care-of address (other than a registered address)). _____

- (4) Country _____
- (5) Postal/ZIP Code _____
- (6) Mailing Address (if different from above) _____

- (7) Country _____
- (8) Postal/ZIP Code _____

Is the organization a disregarded or a fiscally-transparent entity for U.S. tax purposes? If yes, please complete the appropriate IRS Form W-8 or W-9. You cannot complete this Form.

Yes _____

No _____

Part 2 – Entity Status

2A FATCA Status

Please review the FATCA statuses listed in Part 2A of Citi’s Guidelines for Completion of this FATCA Entity Self-Certification Form to determine the entity’s FATCA status and check the appropriate status below.

If the entity is an Active NFFE with an offshore depository account only, you need only complete this Form. You do not need to also complete the appropriate IRS Form W-8.

If the entity is an Active NFFE having a custodial account for holding securities, or if the entity has any other FATCA status, you should not complete this Form. You should complete the appropriate IRS Form W-8 or Form W-9 (if a U.S. entity).

- Active NFFE having an offshore depository account only
(You need only complete this Form)
- Active NFFE having a custodial account for holding securities
(Complete the appropriate Form W-8; do not complete this Form)
- Passive NFFE
(Complete the appropriate Form W-8; do not complete this Form)
- Non-participating FFI
(Complete the appropriate Form W-8; do not complete this Form)
- Participating FFI
(Complete the appropriate Form W-8; do not complete this Form)
- Reporting Model 1 FFI
(Complete the appropriate Form W-8; do not complete this Form)
- U.S. entity
(Complete Form W-9; do not complete this Form)

IRS Forms W-8BEN-E, W-8IMY, W-8EXP, W-8ECI and W-9 and their corresponding instructions can be found at <http://www.irs.gov/Forms and Publications>

2B Beneficial Owner Status

Please indicate your entity’s U.S. tax classification status by checking the appropriate status below:

- Corporation Estate Central Bank of Issue
- Private Foundation Complex Trust Government
- Tax-Exempt Organization

Part 3 – Certification and Signature

Active NFFE Certification

By signing below, I certify that:

The entity identified in Part 1 is a foreign entity that is not a financial institution; **and**

Less than 50% of such entity's gross income for the preceding calendar year is passive income; **and**

Less than 50% of the assets held by such entity are assets that produce or are held for the production of passive income (calculated as the weighted average of the percentage of passive assets measured quarterly) (See Guidelines for an explanation of passive income); **or**

The entity meets any of the other criteria contained in the definition of Active NFFE in Part 2A of the Guidelines.

Consent to Information and Document Sharing

1. I authorize Citi to provide, directly or indirectly, to any relevant tax or other governmental authorities, a copy of this Form and to disclose to such party any additional information that Citi may have in its possession that is relevant to the entity's claims on this Form. I acknowledge and agree that information contained on this Form and information regarding income paid or credited to or for the benefit of the account(s) to which this Form relates may be reported to tax or other governmental authorities and that those parties may provide the information to the country or countries in which the entity is resident for tax purposes.
2. I authorize Citi to provide, directly or indirectly, a copy of this Form and information relating to the account(s) to which this Form relates, as required by law, to: (i) any person that has control, receipt, or custody of income to which this Form relates; (ii) any person that can disburse or make payments of income to which this Form relates; or (iii) any party authorized to audit or conduct a similar control of aforementioned persons for tax purposes.

Under penalties of perjury, I declare that I have examined the information on this Form and to the best of my knowledge and belief, it is true, correct and complete. I further certify under penalties of perjury that:

- a. **The entity identified on line 1 of this Form is the beneficial owner of all the income to which this Form relates and is using this Form to certify its status for Chapter 4 purposes, or is a merchant submitting this Form for purposes of Section 6050W;**
- b. **The entity identified on line 1 of this Form is not a U.S. Person;**

- c. The income to which this Form relates is (a) not effectively connected with the conduct of a trade or business in the United States; (b) effectively connected but is not subject to tax under an income tax treaty; or (c) the partner's share of a partnership's effectively connected income; and

- d. For broker transactions or barter exchanges, the beneficial owner is an exempt foreign person as defined in the regulations.

Furthermore, I authorize this Form to be provided to any withholding agent that has control, receipt, or custody of the income of which the entity on line 1 is the beneficial owner or any withholding agent that can disburse or make payments of the income of which the entity on line 1 is the beneficial owner.

I agree that I will submit a new Form within 30 days if any certification on this Form becomes incorrect.

The Internal Revenue Service does not require your consent to any provisions of this Form other than the certifications required to establish your status as a non-U.S. Person, establish your Chapter 4 status (if required), and, if applicable, obtain a reduced rate of withholding.

Sign here: _____

Name: _____

Account No.: _____

Date: _____

(DD-MM-YYYY)

_____ I certify that I have the capacity to sign for the entity identified in Part 1 of this Form.