

Citigroup Global Markets India Private Limited

Investor Complaints Data for Stock Broking Business

Data for Month Ending December 31, 2021

Sr No	Received From	Carried Forward from Previous Month	Received during the month	Total Pending^^	Resolved*	Pending at the end of the month **		Average Resolution on time^(in days)
						Pending for less than 3 months^^	Pending for more than 3 months	
1	Directly from Investors	0	1	1	0	1	0	0
2	SEBI (Score)	0	0	0	0	0	0	0
3	Stock Exchanges	0	0	0	0	0	0	0
4	Other Sources (If Any)	0	0	0	0	0	0	0
5	Grand Total	0	1	1	0	1	0	0

*Include complaints of previous months resolved in the current month, if any.

** Include total complaints pending as on the last day of the month, if any

^ Average resolution time is the sum total of time taken to resolve each complaint in the current month divided by total number of complaints resolved in the current month

^^ Under discussion with investor for resolution

Trend of monthly disposal of complaints

Sr No	Month	Carried forward from previous month	Received	Resolved *	Pending**
1	Apr-21	0	1^	1^	0
2	May-21	0	0	0	0
3	Jun-21	0	0	0	0
4	Jul-21	0	1	0	1
5	Aug-21	1	0	0	1
6	Sep-21	1	1	2	0
7	Oct-21	0	0	0	0
8	Nov-21	0	0	0	0
9	Dec-21	0	1	0	1

*Include complaints of previous months resolved in the current month, if any.

** Include total complaints pending as on the last day of the month, if any

^ *The complainant was not our client and accordingly complaint was responded.*

Trend of annual disposal of complaints

Sr No	Year^	Carried Forward from previous year	Received during the year	Resolved during the year	Pending at the end of the year
1	2017-18	0	0	0	0
2	2018-19	0	0	0	0
3	2019-20	0	1	1	0
4	2020-21	0	0	0	0
5	2021-22*	0	4^^	3	1

*Details update as on Dec 31, 2021.

^Annual trend details are provided by Financial Year.

^^ Includes one complaint received in the month of April 2021 where the complainant was not our client and accordingly complaint was responded.